

**LOYOLA COLLEGE (AUTONOMOUS), CHENNAI – 600 034****B.B.A. DEGREE EXAMINATION – BUSINESS ADMINISTRATION**FOURTH SEMESTER – **APRIL 2023****UBU 4602 – FINANCIAL SERVICES**

Date: 06-05-2023

Dept. No. 

Max. : 100 Marks

Time: 09:00 AM - 12:00 NOON

**SECTION A - K1 (CO1)****Answer ALL the Questions****(10 x 1 = 10)****1. Answer the following**

a) Financial services.

b) Underwriting.

c) Expand SEBI.

d) Merchant banker.

e) Lease agreement.

**2. Fill in the blanks**

a) ----- can be defined as the products and services offered by institutions like banks of various kinds for the facilitation of various financial transactions and other related activities.

b) Insurance is a contract between 2 parties whereby one party called insurer undertakes in exchange for a fixed sum called -----.

c) The process of selling trade debts of a client to a financial intermediary is called -----.

d) ----- can purchase and sell mutual fund units through various types of intermediaries.

e) The forfeiting owes its origin to a French term -----.

**SECTION A - K2 (CO1)****Answer ALL the Questions**  
**10)****(10 x 1 =****3. Match the following**

a) Financial Market - New Issue Market

b) Money Market - Unsecured Promissory Note

c) Primary Market - Trading of Financial Securities

d) Commercial Paper - hold securities in the form of non-physical certificates.

e) National Securities Depository Limited - Short Term Fund

**4. True or False**

a) Financial system is a set of complex and closely interlinked financial institutions, financial markets, financial instruments, and services which facilitate the transfer of funds.

b) SEBI does not help in prohibiting insider trading in securities.

c) Closed – ended mutual funds does not have a fixed number of units and a fixed tenure.

d) Treasury Bill is used by the Government to raise short term funds for meeting temporary Government revenues.

e) Credit rating will guide the investor in selection of debt instrument.

**SECTION B - K3 (CO2)****Answer any TWO of the following**  
**20)****(2 x 10 =****5. Relate the problems faced by the financial services market in India.**

6.	Discover the benefits of NSDL and CDSL.
7.	Show the benefits of Mutual Funds from the point of view of investors and promoters.
8.	Explain the advantages of leasing.
<b>SECTION C – K4 (CO3)</b>	
	<b>Answer any TWO of the following</b> <span style="float: right;"><b>(2 x 10 = 20)</b></span>
9.	Explain the role of SEBI in investors protection.
10.	Point out the different functions provided by commercial banks.
11.	Distinguish between factoring and forfeiting.
12.	Devise the services rendered by credit rating agencies.
<b>SECTION D – K5 (CO4)</b>	
	<b>Answer any ONE of the following</b> <span style="float: right;"><b>(1 x 20 = 20)</b></span>
13.	Recommend the guidelines for Merchant bankers issued by SEBI.
14.	Summarize the regulations pertaining to the mutual fund companies in India.
<b>SECTION E – K6 (CO5)</b>	
	<b>Answer any ONE of the following</b> <span style="float: right;"><b>(1 x 20 = 20)</b></span>
15.	Write in detail the essential functions of the Stock Exchange.
16.	Elaborate the powers and functions of Insurance Regulatory and Development Authority in Insurance sector.

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